PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

Please type a plus sign (+) inside this box -> +

Attorney Docket No. 26530.22(IDR-457)

First Inventor or Application Identifier Madhusudhana H.S. Murth

Title An Asymmetric System and Method for Tamper-Proof Storage of an Audit Trail for a Database

TRANSMITTAL
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No. EL418585768US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.			Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application  Washington, DC, 20231							
1 1 1 1	ransmittal Form (e.g., PTO/SB/17)	in a 1	5. Microfiche Computer Program (Appendix)							
2. X Specific	an original and a duplicate for fee processi ation [Total Pages]	30 ]	6. Nucleotide and/or Amino Acid Sequence Submission							
	d arrangement set forth below) ptive title of the Invention		(if applicable, all necessary)  a. Computer Readable Copy							
	References to Related Applications		b. Paper Copy (identical to computer copy)							
	nent Regarding Fed sponsored R & D		c. Statement verifying identity of above copies							
	ence to Microfiche Appendix									
<ul> <li>Background of the Invention</li> <li>Brief Summary of the Invention</li> </ul>			ACCOMPANYING APPLICATION PARTS							
Brief Description of the Drawings (if filed)			7. X Assignment Papers (cover sheet & document(s))							
- Detaile	ed Description		8. 37 C.F.R.§3.73(b) Statement Power of Attorney							
- Claim(	•		9. English Translation Document (if applicable)							
	act of the Disclosure g(s) (35 U.S.C. 113) [Total Sheets]	2 ]	Information Disclosure Copies of IDS							
-		<del>=</del>	Statement (IDS)/PTO-1449  Citations  11. Preliminary Amendment							
4. Oath or Decla	ration [Total Pages   Newly executed (original or copy)	2 ]	Deture Bessiet Besteard (MBER 503)							
	12. X (Should be specifically itemized)									
b	Copy from a prior application (37 C.F (for continuation/divisional with Box 16 cor		Statement filed in prior application							
i.	DELETION OF INVENTOR(S) Signed statement attached of	dolotina	(PTO/SB/09-12) Status still proper and desired Certified Copy of Priority Document(s)							
	inventor(s) named in the prior		(if foreign priority is claimed)							
	see 37 C.F.R. §§ 1.63(d)(2) ar		15. Other:							
* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).										
16. If a CONTIN	16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:									
Continua	Continuation Divisional X Continuation-in-part (CIP) of prior application No: 09, 634,445									
Prior application	on information: Examiner	disclosure of	the prior application, from which an oath or declaration is supplied							
under Box 4b, is co	onsidered a part of the disclosure of the	accompanying	g continuation or divisional application and is hereby i — ncorporated by							
reterence. The Inc			s been inadvertently omitted from the submitted application parts.  ICE ADDRESS							
	17. 00111	LOI ONDLI	TOL ADDRESO							
Customer Nu	ımber or Bar Code Label		or X Correspondence address below							
	(Insert Custom	er No. or Attac	h bar code label here)							
Name	David L. McCombs									
	Haynes and Boone, L.L.P.									
Address 9	901 Main Street, Suite 3100									
	lallan I	- T								
	allas Tal		Texas         zip Code         75202-9918           214-651-5533         Fax         214-651-5940							
Country		ерһопе								
Name (Print/Type) David L. McCombs Registration No. (Attorney/Agent) 32,271										
Signature	13 M		Date 10.16.00							

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the in dividual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent a nd Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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PTO/SB/17 (12/99)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>FEE TRANS</b>	MITTAL	Complete if Known				
		Application Number				
for FY 2	2000	Filing Date	October 13, 2000			
Patent fees are subject to ar	nnual revision.	First Named Inventor	Madhusudhana H.S. Murthy, et al.			
Small Entity payments <u>must</u> be supported otherwise large entity fees must be paid.		Examiner Name				
See 37 C.F.R. §§ 1.27	and 1.28.	Group / Art Unit				
TOTAL AMOUNT OF PAYMENT	(\$) 848.00	Attorney Docket No.	26530.22(IDR-457)			

METHOD OF PAYMENT (check one) FEE CALCULATION (continu									
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit	3. ADDITIONAL FEES  Large Entity Small Entity Fee						Fee Paid		
Account Number 08-1394	Code 105 1		Code 205	• (\$) 65	Surcharge - late f	iling fee or o	ath		
Deposit	127	50	227	25	Surcharge - late	•			
Account Name Haynes and Boone LLP	139 1	30	139	130	Non-English spec	ification			
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147 2,5	520	147	2,520	For filing a reque				
2. X Payment Enclosed:	112 9	20*	112	920*	Requesting public Examiner action				
X Check Money Other	113 1,8	840*	113	1,840*	Requesting publication of SIR after Examiner action				
FEE CALCULATION	115 1	10	215	55	Extension for rep	•			
1. BASIC FILING FEE	116 3	80	216	190	Extension for rep				
Large Entity Small Entity	117 8	370	217	435	Extension for rep				
Fee Fee Fee Fee Description	118 1,3	360 2	218	680	Extension for repl				
104 COO 204 245	128 1,8	850 2	228 9	925	Extension for repl	ly within fifth	month		
101 690 201 345 Utility filing fee 710.00	119 3	00 :	219	150	Notice of Appeal				
107 480 207 240 Plant filing fee	120 3	00 :	220	150	Filing a brief in su	upport of an a	ppeal		
108 690 208 345 Reissue filing fee	121 2	60 2	221	130	Request for oral I	nearing			
114 150 214 75 Provisional filing fee	138 1,5	510	138 1	,510	Petition to institut	e a public us	e proceeding		
	140 1	10 :	240	55	Petition to revive	- unavoidable	9		
SUBTOTAL (1) (\$) 710.00	141 1,2	210 2	241	605	Petition to revive	- unintention	al		
2. EXTRA CLAIM FEES	142 1,2	210 2	242	605	Utility issue fee (or reissue)				
Fee from Extra Claims <u>below</u> Fee Paid	143 4	30 2	243	215	Design issue fee				
Total Claims 21 -20** = 1 x 18 = 18.00	144 5	80 2	244	290	Plant issue fee				
Independent 4 - 3** = 1 × 80 = 80.00	122 1	30	122	130	Petitions to the C	ommissioner			
Multiple Dependent =			123	50	Petitions related t	o provisional	applications		
**or number previously paid, if greater; For Reissues, see below  Large Entity Small Entity	126 2	40	126	240	Submission of Inf	ormation Dis	closure Stmt		
Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40 !	581	40	Recording each property (times no			40.00	
103 18 203 9 Claims in excess of 20	146 6	90 :	246	345	Filing a submission (37 CFR § 1.129)		rejection		
102 78 202 39 Independent claims in excess of 3  104 260 204 130 Multiple dependent claim, if not paid	149 6	90 2	249	345	For each addition	al invention t			
104 260 204 130 Multiple dependent claim, if not paid  109 78 209 39 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))								
over original paterit  110 18 210 9 ** Reissue claims in excess of 20	Other fee	ses	uiy) _					<b>——</b>	
and over original patent	Other fee	(spec	cify) _						
SUBTOTAL (2) (\$) 98.00	Reduced	d by B	asic	Filing F	ee Paid	SUBTOTAL	. (3) (\$)	40.00	
SUBMITTED BY Complete (if applicable)									
Name (PrintType) David L. McCombs		gistra tomey			32,271	Telephone	(214) 65	1-5533	
Signature	-					Date	10.16.0	X	

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Madhusudhana H.S. Murthy

Serial No.:

N/A

§ §

Group Art Unit:

Unknown

Filed:

Herewith

§ § § § § §

Examiner:

Unknown

For:

AN ASYMMETRIC SYSTEM

AND METHOD FOR

TAMPER-PROOF STORAGE OF AN AUDIT TRAIL FOR A **DATABASE** 

Commissioner for Patents **Box Patent Application** Washington, D.C. 20231

## **EXPRESS MAIL CERTIFICATE**

Express Mail Number: EL418585768US

Date of Deposit: October 16, 2000

I hereby certify that the following attached papers and fee:

- Patent Application Transmittal and Fee Transmittal with duplicate copy attached; 1.
- CIP Patent Application consisting of: 30 pages of Specification; 2
- 3. 2 Informal Drawing sheets;
- 4. a signed Declaration;
- 5. a Check in the amount of \$808.00;
- 6. an executed Assignment and Assignment Recordation Cover Sheet;
- 7. a Check in the amount of \$40.00 for Assignment Recordation; and
- 8. a Return Postcard.

are being deposited with United States Postal Service "Express Mail Post Office to addressee" to the Assistant Commissioner for Patents, Washington, D. C. 20231.

Debbie Ludwig

Date